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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,889	09/15/2003	Sydc Mohammad Amir Husain	5602-11500	1171

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EXAMINER

WANG, LIANG CHE A

ART UNIT	PAPER NUMBER
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2155

MAIL DATE	DELIVERY MODE
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06/20/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/662,889

Applicant(s)

HUSAIN ET AL.

Examiner

Liang-che Alex Wang

Art Unit

2155

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 September 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|----------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>8/16/04, 11/26/04</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-33 are presented for examination.

Paper Submitted

2. It is hereby acknowledged that the following papers have been received and placed of record in the file:

- a. **Information Disclosure Statements** as received on 8/16/2004, 11/26/2004 are considered:

Claim Objections

3. Claims 33 and 34 are objected to because of the following informalities:
4. Claim 33 depends on claim 32 which does not exist, claims 33 and 34 are examined as claims number 32 and 33 respectively, and newly numbered claim 32 is depending on claim 31 for further examination.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Art Unit: 2155

6. Claims s 1-4, 9-15, 20-26, 31-33 are rejected under 35 U.S.C. 102(e) as being anticipated by Kenton, US Patent Number 6,845,507, hereinafter Kenton.
7. Referring to claim 1, Kenton teaches a method comprising:
 - a. entering user input to a source application (TMA 102) on a first computer system (top of VPN corresponds to the first computer system, figure 4) to request performance of a task (Col 4 line 38-41, user requests for purchasing 1000 shares at TMA);
 - b. performing the task in response to the user input (Col 4 lines 38-41, database is updated upon purchase request);
 - c. generating a message (TMA messages) in response to the user input (Col 5 lines 19-22), wherein the message comprises one or more instructions which are computer-executable to perform the task (Col 5 lines 40-43, Col 3 lines 61-64, Col 7 lines 60-64), and wherein the message comprises metadata which comprise identifying characteristics of the source application (Col 7 lines 55-58);
 - d. translating the message from an original format to a portable format on the first computer system, thereby generating a portable message (Col 11 lines 35-38, the original message is modified before being placed to the queue); and
 - e. storing the portable message in a message log (Col 6 lines 14-19).
8. Referring to claim 2, Kenton teaches the method of claim 1, further comprising:
retrieving the portable message from the message log (Col 6 lines 14-17); and executing the one or more instructions to perform the task again (Col 6 lines 14-19).
9. Referring to claim 3, Kenton teaches the method of claim 1, further comprising:
retrieving the portable message from the message log (Col 6 lines 14-17); and executing

the one or more instructions to perform the task on one or more additional computer systems (Col 6 lines 14-19, Col 4 lines 37-41, data is updated in PMA database, which locates in a computer system distinct from the first system.)

10. Referring to claim 4, Kenton teaches the method of claim 1, wherein the message log comprises a queue (Col 5 lines 19-31 MSMQ 406).
11. Referring to claim 9, Kenton teaches the method of claim 1, further comprising: sending the portable message from the first computer system to a second computer system using peer-to-peer message passing between the first computer system, the second computer system, and optionally one or more intermediary computer systems (figure 4, portable message is sent from the TMA system to PMA system); and performing the requested task on the second computer system (Col 6 lines 14-19).
12. Referring to claim 10, Kenton teaches the method of claim 9, further comprising: routing the portable message to a target application on the second computer system based on the metadata (Col 7 lines 55-58, figure 2 and 4, PMA corresponds to second computer system).
13. Referring to claim 11, Kenton teaches the method of claim 1, wherein the portable format comprises XML, and wherein the portable message comprises an XML message (Col 11 lines 31-38).
14. Referring to claims 12-15, 20-26, 31-33 claims 12-15, 20-26, 31-33 encompass the same scope of the invention as that of the claims 1-4, 9-11. Therefore, claims 12-15, 20-26, 31-33 are rejected for the same reason as the claims 1-4, 9-11.

15. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. Claims 5-8, 16-19, 27-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Kenton in views of Sweeney et al., US Publication Number, hereinafter Sweeney.

17. Referring to claim 5-8, 16-19, 27-30, Kenton teaches an invention as described in claim

1, 12, and 23, however, Kenton does not expressly teaches sorting the message log by the metadata, application type, message sender, or message recipient.

However, Sweeney teaches a automated brokerage risk management system that allows users to sort/filters communications by date, sender/recipient, communication type or other appropriate groupings (page 6 [0069]).

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to have Kenton's brokerage system to include the sorting feature because both Kenton's system deals with a lot of communications (messages) and Sweeney provides a way to managing and organizing the communications (page 6 [0069])

A person with ordinary skill in the art would have been motivated to make the modification to Kenton because sorting would enhance the searching functionality to assist managers in making and managing their procurement decisions as taught by Sweeney (page 1 [0005]).

Conclusion

18. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Applicant is reminded that in amending in response to a rejection of claims, the patentable novelty must be clearly shown in view of the state of the art disclosed by the references cited and the objection made. Applicant must show how the amendments avoid such references and objections. See 37 CFR 1.111(c).
19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Liang-che Alex Wang whose telephone number is (571)272-3992. The examiner can normally be reached on Monday thru Friday, 8:30 am to 5:00 pm.
20. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Saleh Najjar can be reached on (571)272-4006. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
21. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Liang-che Alex Wang
June 12, 2007

